

Hearing date: April 18, 2023 at 11:00 a.m. by WebEx

STATE OF RHODE ISLAND
PROVIDENCE, SC.

SUPERIOR COURT

In re:

CharterCARE Community Board; St. Joseph
Health Services of Rhode Island; and Roger
Williams Hospital

C.A. No. PC-2019-11756

**ORIGINAL LIQUIDATING RECEIVER'S FINAL REPORT AND ACCOUNTING
AND REQUEST FOR FINAL COMPENSATION**

Thomas S. Hemmendinger (the "Original Receiver") presents this final report and accounting ("Final Report") of his actions as liquidating receiver of CharterCARE Community Board ("CCCB"), St. Joseph Health Services of Rhode Island ("SJHSRI"), and Roger Williams Hospital ("RWH") (each also, individually, a "Legacy Hospital Entity" and, collectively, the "Legacy Hospital Entities").

Introduction

1. On December 13, 2019, the Legacy Hospital Entities commenced this receivership by filing their petition for judicial dissolution and liquidation.
2. On December 18, 2019, this Court appointed the Original Receiver as temporary liquidating receiver of the Legacy Hospital Entities and their respective assets. On January 17, 2020, this Court appointed the Original Receiver as permanent liquidating receiver. The Original Receiver qualified by posting the surety bond required by this Court.
3. On December 16, 2022, this Court granted the joint petition by the Original Receiver and Stephen F. Del Sesto (the "Plan Receiver" or the "Successor Receiver"; and, together with the Original Receiver, the "Receivers") to appoint the Plan Receiver as Successor Receiver.
4. The Original Receiver has to date performed all duties required by law or by orders of

this Court.

The Legacy Hospital Entities and the Sale to Prospect CharterCARE

5. CCCB is the parent of SJHSRI and RWH. Until June 20, 2014, SJHSRI owned and operated Our Lady of Fatima Hospital in North Providence, Rhode Island, and RWH owned and operated Roger Williams Medical Center in Providence, Rhode Island.

6. On June 20, 2014, the Legacy Hospital Entities closed on a transaction involving the sale of these hospitals to subsidiaries of Prospect CharterCARE, LLC. In connection with the transaction:

(a) Prospect East Holdings, Inc. (“Prospect East”), the majority member of Prospect CharterCARE, LLC, was obligated to advance \$50 million in capital contributions to the hospitals for certain capital projects on or before June 20, 2018.

(b) Prospect CharterCARE, LLC and its subsidiaries were obligated to make at least \$10 million per year in additional capital expenditures related to the hospitals.

(c) CCCB received a 15% membership interest in Prospect CharterCARE, LLC and certain additional rights (collectively, the “Hospital Interests”), including the right to an upward adjustment to CCCB’s membership interest based on any failure by Prospect East to satisfy the \$50 million capital commitment.

7. Another Prospect affiliate, Prospect Medical Holdings, Inc. (“Prospect Medical”), guaranteed the \$50 million capital commitment.

8. The Hospital Interests include not only CCCB’s minority membership interest in Prospect CharterCARE, LLC, but also the following:

(a) Prospect CharterCARE, LLC’s operating agreement (the “LLC Agreement”) gives CCCB the right to appoint half of the eight-member board of directors (the “Category A Directors”), and Prospect East, the majority member, the right to appoint the other four.

(b) The LLC Agreement grants CCCB a “put option” to compel Prospect East to purchase CCCB’s membership interest. Under the agreement, the parties determine the price for the put option through either negotiation or a contractual valuation process.

The Pension Litigation

9. In 2017, at the request of SJHSRI, this Court appointed Mr. Del Sesto as receiver of the St. Joseph Health Services of Rhode Island Retirement Plan (the “Plan”). At the time, the Plan was substantially underfunded, and the Plan Receiver asserts that the Plan had been substantially underfunded for years before the commencement of the Plan receivership.

10. On June 18, 2018, after an investigation by Wistow, Sheehan & Loveley, PC (“Plan Receiver’s Special Counsel”), special counsel to the Plan Receiver, the Plan Receiver and certain Plan participants as putative class representatives sued the Legacy Hospital Entities, various Prospect entities, various Roman Catholic organizations, and others to recover damages for the benefit of the Plan and its participants in this Court and in the U.S. District Court (collectively, the “Pension Litigation”).

11. In 2018, subject to court approval, the Legacy Hospital Entities, the Plan Receiver, and the putative class-action representatives entered into the Settlement “A” Agreement and the Settlement “B” Agreement, which brought the gross amount of approximately \$17.1 million into the Plan.

12. Both this Court and the U.S. District Court approved these settlements.

13. Both the temporary and permanent orders appointing the Original Receiver directed him to perform the Legacy Hospital Entities’ obligations under the settlement agreements. As this Court has directed, the Original Receiver has been performing all of the Legacy Hospital Entities’ current obligations under them.

The effective change in control proceedings

14. In November 2019, various Prospect entities applied to the Rhode Island Department of Health for approval of an effective change in control of the Prospect CharterCARE subsidiaries that operate Our Lady of Fatima Hospital and Roger Williams Medical Center. As of February 19, 2020, the Prospect entities resubmitted their applications.

15. These Prospect entities also applied to the Department of Health and the Rhode Island Attorney General for approval of the proposed change in control under Rhode Island's Hospital Conversion Act.

16. After a review and investigation by the Receivers and Special Counsel, on April 9, 2020 the Receivers timely objected and asked the Department of Health to deny the applications, in part because the Prospect entities did not have sufficient current assets to fund their operations or other obligations.

17. On September 29, 2020, various Prospect entities filed a motion to adjudge the Plan Receiver in contempt for his actions in objecting to Prospect's regulatory applications. The contempt motion also sought relief against the Original Receiver. Each Receiver filed an objection to the contempt motion. The Prospect/Angell Settlement (see below) resolved this motion.

18. On June 1, 2021, the Attorney General approved the Prospect entities' application on certain conditions. One of those conditions was the establishment of three escrow accounts totaling \$80 million "to be accessed in the event [Prospect Medical Holdings] fails to comply with its ongoing obligation under this Decision to meet the Rhode Island Hospitals' operating expenses and capital needs, or in the event of insolvency, and then only subject to the approval of the Attorney General." (Attorney General's decision, pp. 1 and 2.)

CCCB v. Lee

19. On March 11, 2019, before the start of this receivership, CCCB commenced a civil action in this Court (C.A. No. PC-2019-3654, hereafter “*CCCB v. Lee*”) against Prospect East Hospital Advisory Services, Prospect CharterCARE, LLC and its directors, Prospect East, Prospect Medical, various affiliates of theirs, and a number of individual and entity John Does, seeking, among other things:

(a) Specific performance of the entity defendants’ obligations to fund the \$50 million capital commitment, both derivatively and non-derivatively.

(b) Specific performance of the entity defendants’ contractual and statutory obligations to provide access to the business and financial records of Prospect CharterCARE, and information concerning the funding of the \$50 million capital commitment, including sufficient information for CCCB and the Plan Receiver to evaluate the put option and determine whether to exercise it.

(c) Specific performance and damages against the individual defendants, Prospect East, and Prospect Advisory for breach of fiduciary duty and for aiding and abetting the breach of fiduciary duty, both derivatively and non-derivatively.

(d) Damages and other relief under the Uniform Fraudulent Transfer Act (now the Uniform Voidable Transactions Act) for transfers related to distributions from various Prospect entities and related to obligations incurred to secure the financing that funded those distributions.

(e) Declaratory relief, including the reformation of the LLC Agreement to give CCCB sufficient time to decide whether to exercise the put option.

20. Through a series of stipulated orders in *CCCB v. Lee*:

(a) The deadline for CCCB to exercise the put option was extended.

(b) The Receivers are entitled to receive from Prospect CharterCARE, LLC sufficient information and documents so that the Plan Receiver can evaluate the put option and ascertain whether to exercise it.

21. The Prospect entities failed to comply with the Receivers’ reasonable requests for such information. Therefore, on July 21, 2020 this Court issued a written decision granting in

part and denying in part the Receivers' requests for information and documents. This Court also extended the deadline for exercise of the put option.

22. Based on the Prospect applications to the Department of Health and the Attorney General, the Receivers' and Special Counsel's investigation thereof, and other investigations, on April 21, 2020 the Receivers filed a First Amended and Supplemental Complaint in *CCCB v. Lee*. The amended and supplemental complaint added facts and causes of action uncovered in the investigations thereof by Special Counsel and the Receivers, and added as defendants: parties to the proposed change in control transactions; and JPMorgan Chase Bank, the administrative and collateral agent for the lenders who provided the funding for the dividends to owners of Prospect.

23. JPMorgan Chase Bank moved to dismiss the amended and supplemental complaint, but on November 6, 2020, this Court denied the motion. *CharterCARE Community Board v. Lee*, 2020 WL 6736280 (R.I. Super. Nov. 6, 2020).

24. Green Equity Investors V, LP and Green Equity Investors Side V, LP (the current majority equity owners of the Prospect entities) filed an untimely motion to dismiss for lack of personal jurisdiction. The Prospect/Angell Settlement (see below) resolved this motion.

The Category A Directors

25. Pursuant to the LLC Agreement, on July 22, 2020, the Original Receiver appointed James H. Aceto, CPA, William J. Lynch, Esq., James P. Riley, and Marc Weinberg, M.D. as the Category A Directors to replace the original Category A Directors.

26. The Original Receiver selected these gentlemen for their integrity, skills, commitment to supporting the long-term success of Prospect CharterCARE, LLC and the local hospitals, and commitment to the communities that these hospitals serve.

27. The new Category A Directors fully complied with their fiduciary obligations to Prospect CharterCARE, LLC, complied with the company's conflict of interest policy, and

otherwise satisfied their obligations as directors of the company.

28. However, shortly after the new directors were appointed, disputes arose as to whether Prospect CharterCARE, LLC and its counsel used improper and unwarranted means to prevent the Category A Directors from communicating with the Original Receiver or others and from performing their duties or exercising their rights.

29. In the face of the asserted misconduct by Prospect CharterCARE, LLC, the Category A Directors engaged Matthew T. Oliverio, Esq. and his firm Oliverio & Marcaccio LLP (collectively, “Independent Counsel”) to advise them, to represent them before this Court, and to represent them in any disputes with Prospect CharterCARE, LLC, any other Prospect entities, or any other persons associated with a Prospect entity.

30. On November 18, 2020, this Court authorized the Original Receiver to advance the compensation of Independent Counsel, reserving to the Original Receiver the right to seek recovery of all such amounts from Prospect CharterCARE, LLC and others.

The Delaware Chancery Action

31. On December 19, 2019 Prospect Medical and Prospect East commenced an action in the Delaware Court of Chancery against CCCB for declaratory judgment, injunctive relief and damages (the “Delaware Chancery Action”). The same day, the Prospect plaintiffs acknowledged that the receivership stay applies to the Delaware Chancery Action and agreed not to prosecute the action without permission from this Court.

32. In addition to disputing the merits of the Delaware Chancery Action, the Original Receiver asserted that this Court has exclusive jurisdiction of any disputes between CCCB or the Original Receiver, on one side, and the Prospect entities, on the other.

The Prospect/Angell Settlement

33. As of December 30, 2020, subject to approval by this Court and by the U.S. District

Court, the Original Receiver, the Plan Receiver, and the other plaintiffs in the Pension Litigation entered into a Settlement Agreement (the “Prospect/Angell Settlement Agreement”) with the following:

(a) Prospect Medical, Prospect East, Prospect CharterCARE, LLC, Prospect CharterCARE SJHSRI, LLC, and Prospect CharterCARE RWMC, LLC (collectively, the “Prospect Parties”).

(b) Sam Lee and David Topper, principals of the Prospect Parties.

(c) The Angell Pension Group, Inc. (“Angell”).

34. The Prospect/Angell Settlement Agreement was the result of months of negotiations among the parties and mediation by retired Chief Justice Frank J. Williams.

35. Both this Court and the U.S. District Court approved this settlement.

36. The following is a summary of the terms of the Prospect/Angell Settlement Agreement:

(a) Settlement Payments.

(1) Prospect Medical paid the Plan Receiver \$22.25 million through a standby letter of credit.

(2) Prospect East paid the Plan Receiver \$5 million through a standby letter of credit.

(3) The Prospect Parties paid the Original Receiver \$100,000 in settlement of the parties’ respective claims over revised final settlements from the Centers for Medicare & Medicaid Services for services that the legacy hospitals performed before the 2014 sale to Prospect.

(4) Angell paid the Plan Receiver \$2.75 million.

(b) Category A Directors. The settlement addressed the controversies regarding the Category A Directors as follows:

(1) Prospect paid the Category A Directors their stipend of \$1,000 per month

from the date of their appointment (July 22, 2020) until the directors were replaced after Court approval of the settlement.

(2) Until they were replaced after Court approval of the settlement, the Category A Directors (i) agreed not to attend board meetings during the settlement approval process (the LLC Agreement was amended to eliminate the requirement for votes by the Category A Directors and (ii) were relieved of any obligation to act in any way as directors of Prospect CharterCARE, LLC during the settlement approval process.

(3) The Prospect Parties and their principals indemnified the Category A Directors for any claims arising out of or related to any Category A Director not taking action as a director of Prospect CharterCARE, LLC.

(c) Litigation. The settlement resolved the remaining controversies among the settling parties, specifically:

(1) *CCCB v. Lee* and all pending motions in that action.

(2) The Delaware Chancery Action.

(3) The Plan Receiver's and individual plaintiffs' claims against the Prospect Parties in the Pension Litigation (but preserving all plaintiffs' claims against the remaining defendants).

(4) The Prospect Parties' pending motion to adjudge the Plan Receiver in contempt.

(5) The Prospect Parties' pending motion for protective order.

(6) The Receivers' motion for reconsideration of this Court's October 19, 2020 decision denying their motion for injunctive relief against Adler Pollock & Sheehan P.C.

(7) CCCB's put option.

(8) Claims between SJHSRI and RWH, on the one hand, and the Prospect Parties, on the other hand, regarding pre-2014 sale Medicare rate increases and adjustments.

(9) The disputes over the Category A Directors, as more fully set forth above.

(10) The Receivers' objections to Prospect's then-pending regulatory applications.

(d) Releases. The parties exchanged releases consistent with the settlement terms.

(e) Wind-down Matters. However, the Prospect/Angell Settlement Agreement leaves in place the following:

(1) The records-retention and access obligations of the various Prospect entities.

(2) Prospect's obligations to cooperate with the Plan Receiver or other Plan administrator regarding employment documentation or other information.

(3) Ongoing transition and wind-down obligations regarding CCCB, SJHSRI or RWH.

SJHSRI's Pre-Receivership Self-Insured Workers Compensation Program

37. From about 1986 to 1999, SJHSRI maintained a self-insurance program for its employees' workers compensation claims. Starting in November 2010, under a third-party administration agreement (the "TPA Agreement") Beacon Mutual Insurance Company ("Beacon") served as third-party administrator of the program.

38. After the start of this liquidating receivership, disputes arose among Beacon, the Rhode Island Department of Labor and Training ("DLT"), the Original Receiver, and the Plan Receiver, related to workers compensation claims against SJHSRI and funding sources to pay those claims.

39. On March 1, 2022, this Court approved a settlement of this dispute. The major terms of the settlement were:

(a) DLT assumed full liability for ongoing administration and payment of all workers compensation claims covered by the TPA Agreement.

(b) Neither Beacon nor DLT shall make any claim against either of the Receivers, including claims for administration or payment/reimbursement of the claims.

(c) Neither Beacon nor DLT shall seek payment or reimbursement from any source that

would, by making such payment or reimbursement, acquire any subrogation or other rights against SJHSRI or the Receivers.

(d) Beacon and DLT released each of the Receivers from any claims arising from or related to the TPA Agreement.

(e) The Receivers released each of Beacon and DLT from any claims arising from or related to the TPA Agreement other than claims for breach of the Settlement Agreement, claims relating to contracts or agreements that were entered into exclusive of the TPA Agreement, any insurance policies issued to SJHSRI by Beacon, and claims against third parties.

(f) The TPA Agreement was terminated.

Proofs of Claim

40. On May 22, 2020, this Court ordered that the Plan Receiver could file a proof of claim in this receivership on behalf of all Plan participants, and that individual Plan participants were not required to file separate proofs of claim in their capacity as Plan participants. The Plan Receiver submitted a timely sworn proof of claim on behalf of the Plan and the Plan participants.

41. The bar date for creditors to submit sworn proofs of claim has expired.

42. The Original Receiver's obligations to cooperate with the Plan Receiver under Settlement "A" and the Orders of this Court include "opposing and seeking to limit the claims of other creditors where appropriate."

43. Pursuant to the Prospect/Angell Settlement, the Prospect entities withdrew their claims with prejudice.

44. Pursuant to the Prospect/Angell Settlement, Angell withdrew its claim with prejudice.

45. Pursuant to the Beacon/DLT Settlement, Beacon withdrew its claim with prejudice.

Truk-Away Environmental Remediation Site

46. The R.I. Department of Environmental Management (“DEM”) filed a \$50 million proof of claim in this receivership, based on allegations that SJHSRI and RWH generated hazardous materials that were ultimately deposited at the Truk-Away landfill site in Warwick, Rhode Island. A group of potentially responsible parties at the site have filed a \$16.7 million proof of claim in this receivership.

47. The Original Receiver disputes these claims and, pursuant to Settlement “A” and orders of this Court, collaborated with the Plan Receiver to investigate these claims and the Original Receiver’s defenses and to defend against these claims.

Pre-Receivership Tort Litigation

48. At the Original Receiver’s request, on February 10, 2020, this Court modified the receivership stay to permit fourteen tort plaintiffs to prosecute cases against SJHSRI and RWH for claims that arose before June 20, 2014, on condition that the plaintiffs limit their recovery to any insurance proceeds.

49. None of these plaintiffs has filed a proof of claim in this liquidating receivership.

50. In one potentially substantial case, brought by Michael Nissensohn, the insurance carrier’s counsel sought the Original Receiver’s assistance. The Original Receiver promptly complied, and the case was settled. The insurance carrier paid the settlement amount.

51. In late October 2021, Prospect Medical Holdings notified the Original Receiver of a civil action commenced in November 2018 against various Prospect entities, alleging that one of the Prospect Entities (Prospect CharterCARE SJHSRI, LLC, d/b/a Our Lady of Fatima) is liable for acts and omissions both before and after the 2014 sale to Prospect. This was the first notice

that either the Original Receiver or the Legacy Hospital Entities had of this action. Further, neither the complaint nor the amended complaint in this action names SJHSRI.

52. The Original Receiver promptly tendered the defense of this matter to SJHSRI's liability insurance carrier. The carrier has engaged counsel to represent the Original Receiver and SJHSRI and participate in the defense of this action under a reservation of rights. Both the Original Receiver and the Plan Receiver took the position that this does not constitute a claim against the Legacy Hospital Entities or this liquidating receivership.

53. In December 2022, this action was dismissed.

Coordination with the Plan Receiver

54. The Original Receiver has worked collaboratively with the Plan Receiver and his designated representatives to facilitate the speedy and just resolution of those issues and the closing of this liquidating receivership, as provided in Settlement "A" and the orders appointing the Original Receiver.

Charitable Trusts

55. SJHSRI and RWH are income beneficiaries of various charitable trusts. From the date of the undersigned's appointment as temporary liquidating receiver, the Original Receiver collected income from these trusts.

56. On February 27, 2022, the Plan Receiver filed his petition to apply all of the income (the "Petition to Apply Trust Income") from the following charitable trusts to the Pension Plan and served the petition on all parties who filed proofs of claim and on all counsel of record:

- (a) The Trust under Will of Sarah S. Brown dated June 21, 1911;
- (b) The Trust under Will of C. Prescott Knight dated November 14, 1932;

(c) The Trust under Will of George Luther Flint dated June 25, 1935;

(d) The Miriam C. Horton Trust dated August 9, 1948, as amended by its entirety and restated on June 12, 1963 and modified by a Memorandum of Understanding dated June 24, 2004 between Fleet National Bank (now Bank of America, N.A.), RWH and Brown University;

(e) The Trust under Will of Albert K. Steinert dated July 11, 1927;

(f) The Herbert G. Townsend Trust dated January 2, 1929, as restated on June 14, 1949, as amended on October 6, 1955, and as modified by agreement dated November 18, 1971; and

(g) The Trust under Will of Albert K. Steinert dated July 11, 1927.

57. On March 17, 2022, the Original Receiver filed his response to the petition and recommended that it be granted on certain terms and conditions.

58. On March 22, 2022, after notice and hearing to all parties in interest, including all parties who had open proofs of claim, this Court granted the Petition to Apply Trust Income. The Court entered its order granting the petition on March 30, 2022.

59. Pursuant to this Court's order, the Original Receiver timely paid \$1,005,776 which represented all of the accumulated income and distributions that the Original Receiver had received from these trusts through March 28, 2022.

60. RWH is also a remainder beneficiary of a trust created by Anthony A. Iavazzo (the "Iavazzo Trust"). The life beneficiary of the Iavazzo Trust has died, and Bank of America as trustee is in the process of closing out the trust and making distributions to the remainder beneficiaries.

Transition to Successor Receiver

61. Promptly after this Court appointed the Successor Receiver on December 13, 2022, the Original Receiver and the Successor Receiver began work to transfer the receivership

property, including cash on hand and books and records, to the Successor Receiver.

62. The Receivers substantially completed this work by the end of that month.

63. Because certain deposits and checks were in process, with the Successor Receiver's consent, the Original Receiver retained custody of funds sufficient to cover such items, along with a modest reserve. Once those items clear, the Original Receiver can transfer the remaining funds to the Successor Receiver.

Accounting

64. From the date of the Original Receiver's appointment to March 21, 2023, the Original Receiver made the following collections and disbursements:

	<u>CCCB</u>	<u>SJHSRI</u>	<u>RWH</u>	<u>Aggregate</u>
Starting cash	\$ -	\$ -	\$ -	\$ -
Receipts	\$ 10,130.60	\$ 1,245,379.79	\$ 1,108,060.07	\$ 2,363,570.46
Disbursements	\$ 10,130.60	\$ 1,240,404.79	\$ 1,103,635.27	\$ 2,354,170.66
Net income (loss)	\$ -	\$ 4,975.00	\$ 4,424.80	\$ 9,399.80
Ending cash	\$ -	\$ 4,975.00	\$ 4,424.80	\$ 9,399.80

65. Attached hereto as Exhibit 1 are consolidated income statements for the Legacy Hospital Entities from the date of the Original Receiver's appointment to March 21, 2023.

Request for Compensation and Reimbursement of Expenses

66. From January 1, 2022 to March 21, 2023, the Original Receiver and his counsel put in a total of 215.05 hours and advanced \$52.12 for expenses. The Original Receiver anticipates to incur additional time and expenses filing and serving this Final Report and consummating the transition to the Successor Receiver.

67. The Original Receiver has given this Court a detailed statement of the Original

Receiver's time and expenses, and this statement (redacted for privileged and work-product information) is available to parties in interest on request.

68. The Original Receiver asks this Court to award him compensation for services rendered and award him reimbursement for expenses advanced.

Notice to Parties in Interest

69. The Original Receiver has served this Final Report and notice of the hearing thereon to counsel of record in this receivership and to counsel of record for the following parties in interest: the Plan Receiver; all remaining parties in the Pension Litigation; the parties who have filed proofs of claim; and the Prospect entities.

70. The Original Receiver believes that this constitutes sufficient notice of this Final Report and of the Original Receiver's requests for relief.

WHEREFORE, the Original Receiver prays that this Court:

- (a) Accept, approve and ratify this Final Report.
- (b) Approve and ratify the acts of the Original Receiver.
- (c) Award to the Original Receiver fee for services rendered and for expenses advanced and authorize the Original Receiver to pay same from cash on hand; and, to the extent such cash is not sufficient to pay same, direct the Plan Receiver to pay the shortfall.
- (d) Authorize the Successor Receiver to compensate the Original Receiver for such time and expenses the Original Receiver may incur for any transition services that the Successor Receiver may request after the date of this Final Report.
- (e) Discharge the Original Receiver and the surety on his bond.
- (f) Award to the Original Receiver such other and further relief as this Court deems

In re CharterCARE Community Board et al.

C.A. No. PC-2019-11756

appropriate.

Respectfully submitted,

Date: March 24, 2023

/s/ Thomas S. Hemmendinger
Thomas S. Hemmendinger #3122
Original Liquidating Receiver
Brennan, Recupero, Cascione, Scungio &
McAllister, LLP
362 Broadway
Providence, RI 02909
Tel. (401) 453-2300
Fax (401) 453-2345

CERTIFICATE OF SERVICE

I hereby certify as follows:

- 1) On March 24, 2023, I electronically filed the foregoing document. This document is available for viewing and/or downloading from the Rhode Island Judiciary's Electronic Filing System.
- 2) The following parties received electronic notice: any parties entered to be notified through the Electronic Filing System.
- 3) The document was served by United States Postal Service, postage prepaid, on the following persons: see separate certificate of service.

/s/ Thomas S. Hemmendinger
Thomas S. Hemmendinger

Exhibit 1
Receipts and Disbursements
(December 18, 2019 to March 21, 2023)

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

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 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Ordinary Income/Expense									
Income									
Accounts Receivable Collections									
Deposit	01/19/2021		Prospect CharterCA...	Settlement of ...		Roger Williams...	100,000.00	100,000.00	100,000.00
Total Accounts Receivable Collections							100,000.00	100,000.00	100,000.00
Interest Income									
Deposit	02/22/2020			Interest		St. Joseph He...	0.87	0.87	0.87
Deposit	02/29/2020			Interest		Roger Williams...	0.35	0.35	1.22
Deposit	02/29/2020			Interest		Roger Williams...	0.33	0.33	1.55
Deposit	03/31/2020			Interest		St. Joseph He...	6.78	6.78	8.33
Deposit	03/31/2020			Interest		Roger Williams...	2.71	2.71	11.04
Deposit	03/31/2020			Interest		Roger Williams...	2.54	2.54	13.58
Deposit	04/30/2020			Interest		Roger Williams...	2.46	2.46	16.04
Deposit	04/30/2020			Interest		Roger Williams...	2.62	2.62	18.66
Deposit	04/30/2020			Interest		St. Joseph He...	6.56	6.56	25.22
Deposit	05/31/2020			Interest		Roger Williams...	2.54	2.54	27.76
Deposit	05/31/2020			Interest		Roger Williams...	2.71	2.71	30.47
Deposit	05/31/2020			Interest		St. Joseph He...	6.56	6.56	37.03
Deposit	06/30/2020			Interest		St. Joseph He...	5.90	5.90	42.93
Deposit	06/30/2020			Interest		Roger Williams...	2.62	2.62	45.55
Deposit	06/30/2020			Interest		Roger Williams...	2.46	2.46	48.01
Deposit	07/31/2020			Interest		St. Joseph He...	6.10	6.10	54.11
Deposit	07/31/2020			Interest		Roger Williams...	2.71	2.71	56.82
Deposit	07/31/2020			Interest		Roger Williams...	2.54	2.54	59.36
Deposit	08/31/2020			Interest		St. Joseph He...	6.10	6.10	65.46
Deposit	08/31/2020			Interest		Roger Williams...	2.54	2.54	68.00
Deposit	08/31/2020			Interest		Roger Williams...	2.71	2.71	70.71
Deposit	09/30/2020			Interest		St. Joseph He...	5.90	5.90	76.61
Deposit	09/30/2020			Interest		Roger Williams...	2.46	2.46	79.07
Deposit	09/30/2020			Interest		Roger Williams...	2.62	2.62	81.69
Deposit	10/31/2020			Interest		St. Joseph He...	6.10	6.10	87.79
Deposit	10/31/2020			Interest		Roger Williams...	2.71	2.71	90.50
Deposit	10/31/2020			Interest		Roger Williams...	2.54	2.54	93.04
Deposit	11/30/2020			Interest		St. Joseph He...	5.90	5.90	98.94
Deposit	11/30/2020			Interest		Roger Williams...	2.62	2.62	101.56
Deposit	11/30/2020			Interest		Roger Williams...	2.46	2.46	104.02
Deposit	12/31/2020			Interest		St. Joseph He...	6.10	6.10	110.12
Deposit	12/31/2020			Interest		Roger Williams...	2.71	2.71	112.83
Deposit	12/31/2020			Interest		Roger Williams...	2.54	2.54	115.37
Deposit	01/31/2021			Interest		St. Joseph He...	6.12	6.12	121.49
Deposit	01/31/2021			Interest		Roger Williams...	2.72	2.72	124.21
Deposit	01/31/2021			Interest		Roger Williams...	2.55	2.55	126.76
Deposit	02/28/2021			Interest		St. Joseph He...	5.52	5.52	132.28
Deposit	02/28/2021			Interest		Roger Williams...	2.46	2.46	134.74
Deposit	02/28/2021			Interest		Roger Williams...	2.30	2.30	137.04
Deposit	03/31/2021			Interest		St. Joseph He...	6.12	6.12	143.16
Deposit	03/31/2021			Interest		Roger Williams...	2.72	2.72	145.88

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

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 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Deposit	03/31/2021			Interest		Roger Williams...	2.55	2.55	148.43
Deposit	04/30/2021			Interest		Roger Williams...	2.47	2.47	150.90
Deposit	04/30/2021			Interest		St. Joseph He...	5.92	5.92	156.82
Deposit	04/30/2021			Interest		Roger Williams...	2.63	2.63	159.45
Deposit	05/31/2021			Interest		Roger Williams...	2.55	2.55	162.00
Deposit	05/31/2021			Interest		St. Joseph He...	6.12	6.12	168.12
Deposit	05/31/2021			Interest		Roger Williams...	2.72	2.72	170.84
Deposit	06/30/2021			Interest		Roger Williams...	2.47	2.47	173.31
Deposit	06/30/2021			Interest		St. Joseph He...	5.92	5.92	179.23
Deposit	06/30/2021			Interest		Roger Williams...	2.63	2.63	181.86
Deposit	07/31/2021			Interest		Roger Williams...	2.55	2.55	184.41
Deposit	07/31/2021			Interest		St. Joseph He...	6.12	6.12	190.53
Deposit	07/31/2021			Interest		Roger Williams...	2.72	2.72	193.25
Deposit	08/31/2021			Interest		St. Joseph He...	6.12	6.12	199.37
Deposit	08/31/2021			Interest		Roger Williams...	2.72	2.72	202.09
Deposit	08/31/2021			Interest		Roger Williams...	2.55	2.55	204.64
Deposit	09/30/2021			Interest		Roger Williams...	2.63	2.63	207.27
Deposit	09/30/2021			Interest		Roger Williams...	2.47	2.47	209.74
Deposit	09/30/2021			Interest		St. Joseph He...	5.92	5.92	215.66
Deposit	10/31/2021			Interest		St. Joseph He...	6.12	6.12	221.78
Deposit	10/31/2021			Interest		Roger Williams...	2.72	2.72	224.50
Deposit	10/31/2021			Interest		Roger Williams...	2.55	2.55	227.05
Deposit	11/30/2021			Interest		St. Joseph He...	5.92	5.92	232.97
Deposit	11/30/2021			Interest		Roger Williams...	2.47	2.47	235.44
Deposit	11/30/2021			Interest		Roger Williams...	2.63	2.63	238.07
Deposit	12/31/2021			Interest		St. Joseph He...	6.12	6.12	244.19
Deposit	12/31/2021			Interest		Roger Williams...	2.72	2.72	246.91
Deposit	12/31/2021			Interest		Roger Williams...	2.55	2.55	249.46
Deposit	01/31/2022			Interest		St. Joseph He...	6.12	6.12	255.58
Deposit	01/31/2022			Interest		Roger Williams...	2.72	2.72	258.30
Deposit	01/31/2022			Interest		Roger Williams...	2.55	2.55	260.85
Deposit	02/28/2022			Interest		St. Joseph He...	5.52	5.52	266.37
Deposit	02/28/2022			Interest		Roger Williams...	2.30	2.30	268.67
Deposit	02/28/2022			Interest		Roger Williams...	2.46	2.46	271.13
Deposit	03/31/2022			Interest		Roger Williams...	2.72	2.72	273.85
Deposit	03/31/2022			Interest		Roger Williams...	2.55	2.55	276.40
Deposit	03/31/2022			Interest		St. Joseph He...	6.12	6.12	282.52
Deposit	04/14/2022			Interest		Roger Williams...	1.07	1.07	283.59
Deposit	04/14/2022			Deposit		St. Joseph He...	2.57	2.57	286.16
Deposit	04/30/2022			Interest		Roger Williams...	2.63	2.63	288.79
Deposit	05/31/2022			Interest		Roger Williams...	2.72	2.72	291.51
Deposit	06/30/2022			Interest		Roger Williams...	2.63	2.63	294.14
Deposit	07/31/2022			Interest		Roger Williams...	4.43	4.43	298.57
Deposit	08/31/2022			Interest		Roger Williams...	6.80	6.80	305.37
Deposit	09/30/2022			Interest		Roger Williams...	6.58	6.58	311.95

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Deposit	10/31/2022			Interest		Roger Williams...	6.80	6.80	318.75
Deposit	11/30/2022			Interest		Roger Williams...	6.58	6.58	325.33
Total interest income									
Pre-receivership dep. acct.									
Deposit	12/31/2019		CharterCARE Com...	Transfer to r's...		CharterCARE -...	6,565.63	6,565.63	6,565.63
Deposit	12/31/2019		Roger Williams Hos...	xfer to r'ship		Roger Williams...	160,258.84	160,258.84	166,824.47
Deposit	12/31/2019		Roger Williams Hos...	xfer to r'ship		Roger Williams...	183,600.65	183,600.65	350,425.12
Deposit	12/31/2019		St. Joseph Health S...	xfer to r'ship		St. Joseph He...	402,290.14	402,290.14	752,715.26
Check	01/16/2020		Roger Williams Hos...	Debit to zero ...		Roger Williams...	-8,097.00	-8,097.00	744,618.26
Deposit	08/13/2020		Chace Ruttenberg &...	Refund of unu...		CharterCARE -...	3,564.97	3,564.97	748,183.23
Total Pre-receivership dep. acct.									
Trust Income									
Deposit	01/03/2020		Bank of America	Brown and Ho...		Roger Williams...	32,495.68	32,495.68	32,495.68
Deposit	02/21/2020		Bank of America	Townsend		St. Joseph He...	61,314.54	61,314.54	93,810.22
Deposit	02/27/2020		Bank of America	Flint & Knight		Roger Williams...	8,234.00	8,234.00	102,044.22
Deposit	03/16/2020		Bank of America	Miriam Horton...		Roger Williams...	24,561.43	24,561.43	126,605.65
Deposit	04/10/2020		Bank of America	Saarah Brown...		Roger Williams...	9,389.75	9,389.75	135,995.40
Deposit	05/22/2020	dep	Bank of America	Townsend		St. Joseph He...	61,314.54	61,314.54	197,309.94
Deposit	05/29/2020	dep	Bank of America	C. Prescott K...		Roger Williams...	3,310.25	3,310.25	200,620.19
Deposit	06/05/2020	dep	Bank of America	Albert M. Stei...		St. Joseph He...	877.00	877.00	201,497.19
Deposit	06/05/2020	dep	Bank of America	George L. Flint		Roger Williams...	4,923.75	4,923.75	206,420.94
Deposit	06/05/2020	dep	Bank of America	Albert Steinhert		Roger Williams...	877.00	877.00	207,297.94
Deposit	06/19/2020	dep	Bank of America	George L. Flint		Roger Williams...	5,740.00	5,740.00	213,037.94
Deposit	06/26/2020	dep	Bank of America	Harry M Miria...		Roger Williams...	24,561.43	24,561.43	237,599.37
Deposit	07/03/2020	dep	Bank of America	ALBERT M. Stei...		St. Joseph He...	452.50	452.50	238,051.87
Deposit	07/03/2020	dep	Bank of America	Albert M. Stei...		Roger Williams...	452.50	452.50	238,504.37
Deposit	07/03/2020	dep	Bank of America	Sarah Brown ...		Roger Williams...	19,135.00	19,135.00	257,639.37
Deposit	07/03/2020	dep	Bank of America	Sara Brown F...		Roger Williams...	9,389.75	9,389.75	267,029.12
Deposit	08/11/2020	dep	Bank of America	Knight		Roger Williams...	5,761.00	5,761.00	272,790.12
Deposit	08/11/2020	dep	Bank of America	Simpson - fin...		Roger Williams...	20.70	20.70	272,810.82
Deposit	08/20/2020	dep	Bank of America	Townsend		St. Joseph He...	61,314.54	61,314.54	334,125.36
Deposit	08/28/2020	dep	Bank of America	P Knight		Roger Williams...	3,310.25	3,310.25	337,435.61
Deposit	08/28/2020	dep	Bank of America	George Flint		Roger Williams...	4,923.75	4,923.75	342,359.36
Deposit	10/01/2020	dep	Bank of America	Harry M Miria...		Roger Williams...	24,561.43	24,561.43	366,920.79
Deposit	10/15/2020	dep	Bank of America	Sarah Brown		Roger Williams...	9,389.75	9,389.75	376,310.54
Deposit	12/01/2020	dep	Bank of America	Townsend		St. Joseph He...	61,314.54	61,314.54	437,625.08
Deposit	12/01/2020	dep	Bank of America	Knight		Roger Williams...	3,310.25	3,310.25	440,935.33
Deposit	12/22/2020	dep	Bank of America	Albert M. Stei...		St. Joseph He...	877.00	877.00	441,812.33
Deposit	12/22/2020	dep	Bank of America	Flint		Roger Williams...	4,923.75	4,923.75	446,736.08
Deposit	12/22/2020	dep	Bank of America	Steinhert		Roger Williams...	877.00	877.00	447,613.08
Deposit	01/06/2021	dep	Bank of America	Horton		Roger Williams...	24,561.43	24,561.43	472,174.51
Deposit	01/25/2021	dep	Bank of America	Brown		Roger Williams...	9,389.75	9,389.75	481,564.26
Deposit	02/23/2021	dep	Bank of America	Townsend		St. Joseph He...	67,134.36	67,134.36	548,698.62
Deposit	03/04/2021	dep	Bank of America	Knight		Roger Williams...	3,640.50	3,640.50	552,339.12
Deposit	03/18/2021	dep	Bank of America	Flint		Roger Williams...	5,450.50	5,450.50	557,789.62

CharterCARE Community Board et al. Profit & Loss Detail All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Deposit	03/26/2021		Bank of America	Horton		Roger Williams...	24,561.43	24,561.43	582,351.05
Deposit	04/09/2021		Bank of America	Brown Fund		Roger Williams...	10,323.50	10,323.50	592,674.55
Deposit	05/20/2021		Bank of America	Townsend Co...		St. Joseph He...	67,134.36	67,134.36	659,808.91
Deposit	05/26/2021		Bank of America	Knight		Roger Williams...	3,640.50	3,640.50	663,449.41
Deposit	05/26/2021		Bank of America	Flint		Roger Williams...	5,450.50	5,450.50	668,899.91
Deposit	06/08/2021		Bank of America	Steinert		Roger Williams...	972.50	972.50	669,872.41
Deposit	06/08/2021		Bank of America	Steinert		St. Joseph He...	972.50	972.50	670,844.91
Deposit	06/29/2021		Bank of America	Horton		Roger Williams...	27,979.53	27,979.53	698,824.44
Deposit	07/08/2021		Bank of America	Brown Fund		Roger Williams...	10,323.50	10,323.50	709,147.94
Deposit	07/19/2021		Bank of America	Knight		Roger Williams...	2,016.00	2,016.00	711,163.94
Deposit	07/29/2021		Bank of America	Flint - deficien...		Roger Williams...	3,411.50	3,411.50	714,575.44
Deposit	07/29/2021		Bank of America	Brown Fund - ...		Roger Williams...	7,195.50	7,195.50	721,770.94
Deposit	08/24/2021		Bank of America	Townsend Co...		St. Joseph He...	67,134.36	67,134.36	788,905.30
Deposit	08/26/2021		Bank of America	Knight		Roger Williams...	3,640.50	3,640.50	792,545.80
Deposit	09/01/2021		Bank of America	Flint		Roger Williams...	5,450.50	5,450.50	797,996.30
Deposit	09/21/2021		Bank of America	Horton		Roger Williams...	27,979.53	27,979.53	825,975.83
Deposit	10/05/2021		Bank of America	Brown fund		Roger Williams...	10,323.50	10,323.50	836,299.33
Deposit	11/19/2021		Bank of America	Townsend Co...		St. Joseph He...	67,134.36	67,134.36	903,433.69
Deposit	11/26/2021		Bank of America	Knight fund		Roger Williams...	3,640.50	3,640.50	907,074.19
Deposit	12/09/2021		Bank of America	Steinert quart...		St. Joseph He...	972.50	972.50	908,046.69
Deposit	12/09/2021		Bank of America	Steinert - defi...		St. Joseph He...	243.83	243.83	908,290.52
Deposit	12/16/2021		Bank of America	Flint		Roger Williams...	5,450.50	5,450.50	913,741.02
Deposit	12/16/2021		Bank of America	Steinert		Roger Williams...	972.50	972.50	914,713.52
Deposit	12/16/2021		Bank of America	Steinert defici...		Roger Williams...	243.83	243.83	914,957.35
Deposit	12/23/2021		Bank of America	Horton		Roger Williams...	27,979.53	27,979.53	942,936.88
Deposit	01/18/2022		Bank of America	Brown fund		Roger Williams...	10,323.50	10,323.50	953,260.38
Deposit	02/24/2022		Bank of America	Townsend Co...		St. Joseph He...	74,560.87	74,560.87	1,027,821.25
Deposit	02/24/2022		Bank of America	Knight		Roger Williams...	3,937.25	3,937.25	1,031,758.50
Deposit	03/17/2022		Bank of America	Flint		Roger Williams...	5,929.00	5,929.00	1,037,687.50
Deposit	03/29/2022		Bank of America	Horton		Roger Williams...	27,979.53	27,979.53	1,065,667.03
Deposit	03/29/2022		Bank of America	Brown		Roger Williams...	11,203.75	11,203.75	1,076,870.78
Deposit	05/20/2022		Bank of America	Townsend Fu...		St. Joseph He...	24,000.00	24,000.00	1,100,870.78
Deposit	05/20/2022		Bank of America	Townsend Fund		St. Joseph He...	74,560.87	74,560.87	1,175,431.65
Deposit	05/26/2022		Bank of America	Knight		Roger Williams...	3,937.25	3,937.25	1,179,368.90
Deposit	06/03/2022		Bank of America	Steinert		St. Joseph He...	1,051.50	1,051.50	1,180,420.40
Deposit	06/03/2022		Bank of America	Flint		Roger Williams...	5,929.00	5,929.00	1,186,349.40
Deposit	06/24/2022		Bank of America	Horton		Roger Williams...	35,840.17	35,840.17	1,222,189.57
Deposit	07/01/2022		Bank of America	Steinert		Roger Williams...	1,051.50	1,051.50	1,223,241.07
Deposit	07/01/2022		Bank of America	Brown Fund		Roger Williams...	11,203.75	11,203.75	1,234,444.82
Deposit	08/19/2022		Bank of America	Townsend Fund		St. Joseph He...	74,560.87	74,560.87	1,309,005.69
Deposit	08/26/2022		Bank of America	Knight		Roger Williams...	3,937.25	3,937.25	1,312,942.94
Deposit	09/20/2022		Bank of America	Horton		Roger Williams...	35,840.17	35,840.17	1,348,783.11
Deposit	09/23/2022		Bank of America	Flint		Roger Williams...	5,940.00	5,940.00	1,354,723.11
Deposit	09/29/2022		Bank of America	Brown quarter...		Roger Williams...	11,203.75	11,203.75	1,365,926.86
Deposit	10/07/2022		Bank of America	Brown deficie...		Roger Williams...	10,771.00	10,771.00	1,376,697.86
Deposit	10/07/2022		Bank of America	Knight deficie...		Roger Williams...	3,110.00	3,110.00	1,379,807.86
Deposit	10/27/2022		Bank of America	Steinert defici...		St. Joseph He...	397.00	397.00	1,380,204.86
Deposit	11/04/2022		Bank of America	Steinert defici...		Roger Williams...	397.00	397.00	1,380,601.86
Deposit	11/04/2022		Bank of America	Flint deficienc...		Roger Williams...	7,866.50	7,866.50	1,388,468.36

CharterCARE Community Board et al. Profit & Loss Detail All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Deposit	11/22/2022		Bank of America	Knight quarter...		Roger Williams...	3,937.25	3,937.25	1,392,405.61
Deposit	11/22/2022		Bank of America	Townsend		St. Joseph He...	74,560.87	74,560.87	1,466,966.48
Deposit	12/01/2022		Bank of America	Steinert semi...		St. Joseph He...	1,051.50	1,051.50	1,468,017.98
Deposit	12/20/2022		Bank of America	Horton quarter...		Roger Williams...	35,840.17	35,840.17	1,503,858.15
Deposit	12/30/2022		Bank of America	Brown quarter...		Roger Williams...	11,203.75	11,203.75	1,515,061.90
Total Trust Income							1,515,061.90	1,515,061.90	1,515,061.90
Total Income							2,363,570.46	2,363,570.46	2,363,570.46
Expense									
Accounting Fees									
Check	03/16/2020	1006	Kahn Litwin Renza ...			Roger Williams...	300.00	300.00	300.00
Check	10/01/2020	1017	Kahn Litwin Renza ...	SJHSRI 2020		Roger Williams...	3,750.00	3,750.00	4,050.00
Check	10/08/2020	1019	Kahn Litwin Renza ...	COCB 2020		Roger Williams...	3,000.00	3,000.00	7,050.00
Check	10/08/2020	1020	Kahn Litwin Renza ...	RWH 2020		Roger Williams...	3,500.00	3,500.00	10,550.00
Check	10/06/2021	1025	Kahn Litwin Renza ...	2020 IRS 990's		St. Joseph He...	5,292.00	5,292.00	15,842.00
Check	10/06/2021	1026	Kahn Litwin Renza ...	2020 IRS 990		St. Joseph He...	3,780.00	3,780.00	19,622.00
Check	10/06/2021	1050	Kahn Litwin Renza ...	2020 IRS 990		Roger Williams...	4,032.00	4,032.00	23,654.00
Check	10/20/2022	1063	Kahn Litwin Renza ...	RWH IRS 990...		Roger Williams...	2,750.00	2,750.00	26,404.00
Check	10/31/2022	1048	Kahn Litwin Renza ...	SJHSRI and ...		St. Joseph He...	7,000.00	7,000.00	33,404.00
Total Accounting Fees							33,404.00	33,404.00	33,404.00
Bank Service Charges									
Check	01/17/2020		Citizens Bank	Check order		CharterCARE -...	134.95	134.95	134.95
Check	01/17/2020		Citizens Bank	Check order		Roger Williams...	134.95	134.95	269.90
Check	01/17/2020		Citizens Bank	check order		St. Joseph He...	134.95	134.95	404.85
Check	01/31/2020		Citizens Bank	Monthly charge		CharterCARE -...	25.00	25.00	429.85
Deposit	02/10/2020		Citizens Bank	Rebate		CharterCARE -...	-25.00	-25.00	404.85
Deposit	02/16/2020		Citizens Bank	Deposit		CharterCARE -...	-6.00	-6.00	398.85
Check	02/28/2020		Citizens Bank	stmt delivery		CharterCARE -...	3.00	3.00	401.85
Check	03/31/2020		Citizens Bank	Service Charge		CharterCARE -...	3.00	3.00	404.85
Check	03/31/2020		Citizens Bank	Service Charge		Roger Williams...	25.00	25.00	429.85
Deposit	04/23/2020		Citizens Bank	Rebate of ser...		Roger Williams...	-25.00	-25.00	404.85
Check	04/30/2020		Citizens Bank	Service charge		Roger Williams...	25.00	25.00	429.85
Deposit	05/08/2020		Citizens Bank	Rebate of ser...		Roger Williams...	-25.00	-25.00	404.85
Check	05/29/2020		Citizens Bank	Service charge		Roger Williams...	25.00	25.00	429.85
Deposit	06/08/2020		Citizens Bank	Rebate of ser...		Roger Williams...	-25.00	-25.00	404.85
Check	06/30/2020		Citizens Bank	Statement del...		Roger Williams...	3.00	3.00	407.85
Check	07/31/2020		Citizens Bank	Service Charge		Roger Williams...	3.00	3.00	410.85
Deposit	08/14/2020		Citizens Bank	Rebate of ser...		Roger Williams...	-3.00	-3.00	407.85
Deposit	08/14/2020		Citizens Bank	Rebate of ser...		Roger Williams...	-3.00	-3.00	404.85
Check	08/31/2020		Citizens Bank	Service Charge		Roger Williams...	3.00	3.00	407.85
Check	09/30/2020		Citizens Bank	Service Charge		Roger Williams...	3.00	3.00	410.85
Check	10/31/2020		Citizens Bank	Service charge		Roger Williams...	3.00	3.00	413.85
Deposit	11/17/2020		Citizens Bank	Rebate for 8/31		Roger Williams...	-3.00	-3.00	410.85
Deposit	11/17/2020		Citizens Bank	Rebate for 9/30		Roger Williams...	-3.00	-3.00	407.85

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Deposit	11/17/2020					Roger Williams...	-3.00	-3.00	404.85
Check	02/28/2023		Citizens Bank	Rebate for 10/... service fee--to...		St. Joseph He...	25.00	25.00	429.85
Total Bank Service Charges									
Court-related Expense									
Check	02/05/2020	1003	Providence Journal	1/3 and 1/31 l...		Roger Williams...	3,540.56	3,540.56	3,540.56
Deposit	06/19/2020	dep	Constable	Service and p...		St. Joseph He...	-11.00	-11.00	3,529.56
Deposit	08/11/2020			Deposit		St. Joseph He...	-22.00	-22.00	3,507.56
Check	02/26/2021	1033	Kyle Norrigan	Constable - s...		Roger Williams...	112.00	112.00	3,619.56
Check	03/04/2021		Carlos Taraktzian	Subpoena fee		Roger Williams...	-11.00	-11.00	3,608.56
Check	08/18/2021	1024	Kyle Norrigan	Service of sub...		St. Joseph He...	224.00	224.00	3,832.56
Check	09/21/2021	1046	Falcon Constable S...	VOID: Service...	X	Roger Williams...	0.00	0.00	3,832.56
Check	09/24/2021	1047	Michael DeFalco	Service of sub...	X	Roger Williams...	114.00	114.00	3,946.56
Deposit	12/15/2022		RI Superior Court	Boyle v. Gonc...		Roger Williams...	-11.00	-11.00	3,935.56
Deposit	12/30/2022		RI Superior Court	Subpoena fees		Roger Williams...	-22.00	-22.00	3,913.56
Total Court-related Expense									
Distribution to Plan									
Check	04/15/2022	1058	Stephen Del Sesto, ...	Trust income ...		Roger Williams...	355,776.00	355,776.00	355,776.00
Check	04/15/2022	1039	Stephen Del Sesto, ...	Trust income ...		St. Joseph He...	650,000.00	650,000.00	1,005,776.00
Check	06/10/2022	1042	Stephen Del Sesto, ...	Trust income ...		St. Joseph He...	98,560.87	98,560.87	1,104,336.87
Check	06/10/2022	1060	Stephen Del Sesto, ...	Trust income ...		Roger Williams...	3,937.25	3,937.25	1,108,274.12
Check	09/20/2022	1045	Stephen Del Sesto, ...	Trust income ...		St. Joseph He...	75,612.37	75,612.37	1,183,886.49
Check	09/20/2022	1062	Stephen Del Sesto, ...	Trust income ...		Roger Williams...	57,961.67	57,961.67	1,241,848.16
Total Distribution to Plan									
Filing Fees									
Check	01/21/2020	1001	RI Secretary of State	VOID: Chang...	X	Roger Williams...	0.00	0.00	0.00
Check	01/21/2020	1001	RI Secretary of State	VOID: Chang...	X	St. Joseph He...	0.00	0.00	0.00
Check	02/04/2020	1001	RI Secretary of State	VOID: Chang...	X	CharterCARE -...	0.00	0.00	0.00
Check	02/04/2020	1002	RI Secretary of State	Change regist...		CharterCARE -...	10.00	10.00	10.00
Check	02/04/2020	1002	RI Secretary of State	Change regist...		Roger Williams...	10.00	10.00	20.00
Check	02/04/2020	1002	RI Secretary of State	Change regist...		St. Joseph He...	10.00	10.00	30.00
Check	03/19/2020	1008	ExamWorks Clinical ...	March, April, ...		St. Joseph He...	3,250.00	3,250.00	3,280.00
Check	05/22/2020	1008	ExamWorks Clinical ...	Jan. and Feb.		Roger Williams...	750.00	750.00	4,030.00
Check	06/03/2020	1010	ExamWorks Clinical ...	2020 annual rpt		Roger Williams...	500.00	500.00	4,530.00
Check	06/19/2020	1005	RI Secretary of State	2020 annual r...		CharterCARE -...	20.00	20.00	4,550.00
Check	06/19/2020	1011	RI Secretary of State	2020 annual rpt		Roger Williams...	20.00	20.00	4,570.00
Check	06/21/2020	1011	RI Secretary of State	Full and final ...		St. Joseph He...	20.00	20.00	4,590.00
Check	12/18/2020	1026	ExamWorks Clinical ...	Full and final ...		Roger Williams...	500.00	500.00	5,090.00
Check	12/29/2020	1016	ExamWorks Clinical ...	2021 annual r...		St. Joseph He...	2,000.00	2,000.00	7,090.00
Check	06/09/2021	1041	RI Secretary of State	2021 annual r...		Roger Williams...	20.00	20.00	7,110.00
Check	06/09/2021	1006	RI Secretary of State	2021 annual r...		CharterCARE -...	20.00	20.00	7,130.00
Check	06/15/2021	1023	RI Secretary of State	2021 annual r...		St. Joseph He...	20.00	20.00	7,150.00
Check	04/04/2022	1038	RI Secretary of State	annual report		St. Joseph He...	20.00	20.00	7,170.00

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Check	04/04/2022	1057	RI Secretary of State	annual report		Roger Williams...	20.00	20.00	7,190.00
Check	04/04/2022	1007	RI Secretary of State	2022 annual r...		CharterCARE -...	20.00	20.00	7,210.00
Total Filing Fees									
Insurance Expense									
Check	01/06/2020	99	Starkweather & She...	Receiver's bond		CharterCARE -...	100.00	100.00	100.00
Check	02/05/2020	1003	Beacon Mutual Insur...	Workers com...		St. Joseph He...	7,888.96	7,888.96	7,988.96
Check	02/05/2020	1004	Beacon Mutual Insur...	Workers com...		St. Joseph He...	6,826.71	6,826.71	14,815.67
Check	03/16/2020	1004	Starkweather & She...	Permanent re...		CharterCARE -...	100.00	100.00	14,915.67
Check	03/16/2020	1007	Beacon Mutual Insur...	Workers com...		St. Joseph He...	14,985.19	14,985.19	29,900.86
Check	03/16/2020	1006	Beacon Mutual Insur...	VOID: Worker...	X	St. Joseph He...	0.00	0.00	29,900.86
Check	07/29/2020	1012	Willis Towers Watso...	Extend D&O		St. Joseph He...	1,626.00	1,626.00	31,526.86
Check	12/30/2020	1028	Starkweather & She...	Receiver's bond		Roger Williams...	100.00	100.00	31,626.86
Deposit	01/06/2021		Westport Insurance ...	Excess - reim...		St. Joseph He...	-23,117.42	-23,117.42	8,509.44
Deposit	01/06/2021		Westport Insurance ...	Excess - reim...		St. Joseph He...	-23,068.48	-23,068.48	-14,559.04
Deposit	01/06/2021		Westport Insurance ...	Excess - reim...		St. Joseph He...	-444.17	-444.17	-15,003.21
Check	01/21/2021	1018	Willis Towers Watso...	Balance due ...		St. Joseph He...	452.80	452.80	-14,550.41
Check	04/19/2021	1022	Beacon Mutual Insur...	Under reserva...		St. Joseph He...	46,630.07	46,630.07	32,079.66
Deposit	06/08/2021		Swiss Reinsurance	Workers com...		St. Joseph He...	-13,634.07	-13,634.07	18,445.59
Check	01/05/2022	1033	Starkweather & She...	Renew receiv...		St. Joseph He...	100.00	100.00	18,545.59
Check	12/21/2022	1065	Liberty Mutual Surety	Receiver's bond		Roger Williams...	100.00	100.00	18,645.59
Total Insurance Expense									
Legal Fees									
Deposit	01/09/2020		Verrill Dana LLP	Reimbursement		CharterCARE -...	-463.50	-463.50	-463.50
Check	02/26/2020	1004	Verrill Dana LLP	Invoice		Roger Williams...	105.00	105.00	-358.50
Check	03/16/2020	1005	Verrill Dana LLP			Roger Williams...	315.00	315.00	-43.50
Check	07/24/2020	1013	Chace Rутtenberg &...	Post-petition t...		Roger Williams...	26,654.25	26,654.25	26,610.75
Check	10/01/2020	1015	Chace Rутtenberg &...			Roger Williams...	2,959.00	2,959.00	29,569.75
Check	11/06/2020	1022	Chace Rутtenberg &...	Inv. 2432		Roger Williams...	5,862.00	5,862.00	35,431.75
Check	01/05/2021	1030	Oliverio & Marcacio...	Category A Di...		Roger Williams...	14,040.00	14,040.00	49,471.75
Check	02/12/2021	1032	Oliverio & Marcacio...	Category A Di...		Roger Williams...	4,884.63	4,884.63	54,356.38
Check	02/26/2021	1034	Chace Rутtenberg &...	Inv. 3352		Roger Williams...	2,261.00	2,261.00	56,617.38
Check	06/08/2021	1040	Oliverio & Marcacio...	Inv. 6003 in full		Roger Williams...	10,860.00	10,860.00	67,477.38
Check	10/06/2021	1049	Oliverio & Marcacio...	Final invoice		Roger Williams...	320.00	320.00	67,797.38
Check	10/14/2021	1028	Chace Rутtenberg &...	Invoice #5013		St. Joseph He...	2,445.00	2,445.00	70,242.38
Check	01/04/2022	1054	Littler Mendelson, PC	Nissensohn S...		Roger Williams...	5,040.98	5,040.98	75,283.36
Check	01/14/2022	1055	Chace Rутtenberg &...	Inv. 5584-40189		Roger Williams...	1,607.50	1,607.50	76,890.86
Check	03/14/2022	1036	Chace Rутtenberg &...			St. Joseph He...	3,959.25	3,959.25	80,850.11
Check	08/29/2022	1061	Chace Rутtenberg &...	Special counsel		Roger Williams...	1,445.00	1,445.00	82,295.11
Total Legal Fees									
							82,295.11	82,295.11	

CharterCARE Community Board et al. Profit & Loss Detail All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Mailing service									
Check	01/09/2020	100	Relevant Discovery	Produce and ...		CharterCARE -...	264.87	264.87	264.87
Check	02/04/2020	1003	Relevant Discovery	Produce and ...		CharterCARE -...	282.20	282.20	547.07
Check	05/22/2020	1007	Relevant Discovery			Roger Williams...	373.43	373.43	920.50
Check	09/08/2020	1014	Relevant Discovery			Roger Williams...	389.37	389.37	1,309.87
Check	11/10/2020	1023	Relevant Discovery	#7725		Roger Williams...	445.65	445.65	1,755.52
Check	01/28/2021	1020	Relevant Discovery	Report and pe...		St. Joseph He...	790.83	790.83	2,546.35
Check	09/24/2021	1048	Litigistics	Mailing to part...		Roger Williams...	419.48	419.48	2,965.83
Check	12/07/2021	1052	Litigistics	Mailing 6th re...		Roger Williams...	383.10	383.10	3,348.93
Check	03/08/2022	1056	Litigistics	Printing and ...		Roger Williams...	418.57	418.57	3,767.50
Check	11/28/2022	1064	Litigistics	Mailing and pr...		Roger Williams...	508.20	508.20	4,275.70
Total Mailing service							4,275.70		4,275.70
Other Income									
Deposit	05/26/2021		Transamerica	Employer rei...		St. Joseph He...	-2,644.67	-2,644.67	-2,644.67
Total Other Income							-2,644.67		-2,644.67
Receiver's Fees and Expenses									
Check	05/22/2020	1010	BRCSM	1st interim fee...		St. Joseph He...	38,818.08	38,818.08	38,818.08
Check	05/22/2020	1009	BRCSM	1st interim (pa...		Roger Williams...	38,818.08	38,818.08	77,636.16
Check	10/02/2020	1014	BRCSM	2d interim fee...		St. Joseph He...	26,497.05	26,497.05	104,133.21
Check	10/02/2020	1018	BRCSM	2d interim fee...		Roger Williams...	26,497.05	26,497.05	130,630.26
Check	12/11/2020	1015	BRCSM	VOID: 3d inter...	X	St. Joseph He...	0.00	0.00	130,630.26
Check	12/11/2020	1025	BRCSM	VOID: 3d inter...	X	Roger Williams...	0.00	0.00	130,630.26
Check	01/02/2021	1017	BRCSM	3d interim fee...		St. Joseph He...	40,200.77	40,200.77	170,831.03
Check	01/02/2021	1029	BRCSM	3d interim fee...		Roger Williams...	40,200.78	40,200.78	211,031.81
Check	03/08/2021	1021	BRCSM	4th interim fee...		St. Joseph He...	42,530.60	42,530.60	253,562.41
Check	03/08/2021	1035	BRCSM	4th interim fee...		Roger Williams...	42,530.60	42,530.60	296,093.01
Check	10/13/2021	1051	BRCSM	5th interim fee...		Roger Williams...	42,315.34	42,315.34	338,408.35
Check	10/13/2021	1027	BRCSM	5th interim fee...		St. Joseph He...	42,315.33	42,315.33	380,723.68
Check	12/30/2021	1032	BRCSM	6th interim fee...		St. Joseph He...	27,977.59	27,977.59	408,701.27
Check	12/30/2021	1053	BRCSM	6th interim fee...		Roger Williams...	27,977.59	27,977.59	436,678.86
Check	05/16/2022	1041	BRCSM	7th interim fee...		St. Joseph He...	29,187.00	29,187.00	465,865.86
Check	05/16/2022	1059	BRCSM	7th interim fee...		Roger Williams...	29,187.22	29,187.22	495,053.08
Total Receiver's Fees and Expenses							495,053.08		495,053.08
Records Management									
Check	02/26/2020	1005	Xact Data Discovery	database mai...		St. Joseph He...	2,287.50	2,287.50	2,287.50
Check	05/05/2020	1009	Xact Data Discovery	Inv. 49-05531...		St. Joseph He...	2,845.50	2,845.50	5,133.00
Check	07/15/2020	1012	Xact Data Discovery	Inv. 49-0661, ...		Roger Williams...	5,325.00	5,325.00	10,458.00
Check	08/19/2020	1013	Xact Data Discovery	Dec., Jan., Fe...		St. Joseph He...	11,062.00	11,062.00	21,520.00
Check	10/01/2020	1016	Xact Data Discovery	Inv. 49-08403...		Roger Williams...	2,662.50	2,662.50	24,182.50
Check	11/03/2020	1021	Xact Data Discovery	Inv. 49-09306...		Roger Williams...	5,695.00	5,695.00	29,877.50
Check	11/23/2020	1024	Xact Data Discovery	October		Roger Williams...	2,631.93	2,631.93	32,509.43
Check	12/18/2020	1027	Xact Data Discovery	December		Roger Williams...	2,631.93	2,631.93	35,141.36
Check	01/22/2021	1031	Xact Data Discovery	Monthly charge		Roger Williams...	2,631.93	2,631.93	37,773.29
Check	03/08/2021	1036	Xact Data Discovery	49-13048/49-...		Roger Williams...	2,629.70	2,629.70	40,402.99

CharterCARE Community Board et al.
Profit & Loss Detail
 All Transactions

3:59 PM
 03/22/23
 Cash Basis

Type	Date	Num	Name	Memo	Clr	Split	Original Amount	Paid Amount	Balance
Check	03/18/2021	1037	Xact Data Discovery	49-13853/49-...		Roger Williams...	2,629.70	2,629.70	43,032.69
Check	04/22/2021	1038	Xact Data Discovery			Roger Williams...	2,629.70	2,629.70	45,662.39
Check	05/25/2021	1039	Xact Data Discovery			Roger Williams...	2,629.70	2,629.70	48,292.09
Check	06/25/2021	1042	Xact Data Discovery			Roger Williams...	2,479.70	2,479.70	50,771.79
Check	08/12/2021	1043	Xact Data Discovery			Roger Williams...	2,479.70	2,479.70	53,251.49
Check	08/26/2021	1044	Xact Data Discovery			Roger Williams...	2,479.70	2,479.70	55,731.19
Check	09/21/2021	1045	Xact Data Discovery	September		Roger Williams...	2,479.70	2,479.70	58,210.89
Check	11/17/2021	1029	Xact Data Discovery	Monthly invoic...		St. Joseph He...	2,479.75	2,479.75	60,690.64
Check	12/01/2021	1030	Xact Data Discovery	Monthly invoic...		St. Joseph He...	2,479.75	2,479.75	63,170.39
Check	12/29/2021	1031	Xact Data Discovery	Monthly invoic...		St. Joseph He...	2,479.75	2,479.75	65,650.14
Check	02/17/2022	1034	Xact Data Discovery	Monthly invoic...		St. Joseph He...	2,479.75	2,479.75	68,129.89
Check	02/17/2022	1035	Xact Data Discovery	January datab...		St. Joseph He...	2,479.75	2,479.75	70,609.64
Check	03/30/2022	1037	Xact Data Discovery	February invoic...		St. Joseph He...	2,479.75	2,479.75	73,089.39
Check	04/22/2022	1040	Xact Data Discovery	March invoices		St. Joseph He...	2,479.75	2,479.75	75,569.14
Check	06/10/2022	1043	Xact Data Discovery	Monthly invoice		St. Joseph He...	2,479.75	2,479.75	78,048.89
Check	08/29/2022	1044	Xact Data Discovery	Database mai...		St. Joseph He...	4,959.50	4,959.50	83,008.39
Check	09/22/2022	1046	Xact Data Discovery	Database mai...		St. Joseph He...	2,479.75	2,479.75	85,488.14
Check	10/14/2022	1047	Xact Data Discovery	Database mai...		St. Joseph He...	2,479.75	2,479.75	87,967.89
Check	11/09/2022	1049	Xact Data Discovery			St. Joseph He...	2,479.75	2,479.75	90,447.64
Check	12/21/2022	1050	Xact Data Discovery	November		St. Joseph He...	2,479.75	2,479.75	92,927.39
Check	12/27/2022	1051	Xact Data Discovery	April (just rec'd)		St. Joseph He...	2,479.75	2,479.75	95,407.14
Total Records Management									
Transfer to successor receiver									
Check	12/30/2022	51768...	Stephen Del Sesto, ...			Roger Williams...	160,106.18	160,106.18	160,106.18
Check	12/30/2022	1008	Stephen Del Sesto, ...			CharterCARE -...	9,642.08	9,642.08	169,748.26
Check	12/30/2022	1066	Stephen Del Sesto, ...			Roger Williams...	86,507.19	86,507.19	256,255.45
Check	12/30/2022	1001	Stephen Del Sesto, ...			Roger Williams...	258.84	258.84	256,514.29
Check	12/30/2022	1052	Stephen Del Sesto, ...	VOID:	X	St. Joseph He...	0.00	0.00	256,514.29
Check	12/30/2022	1053	Stephen Del Sesto, ...			St. Joseph He...	70,774.93	70,774.93	327,289.22
Check	01/10/2023	1067	Stephen Del Sesto, ...	Horton quarte...		Roger Williams...	35,840.17	35,840.17	363,129.39
Check	01/10/2023	1068	Stephen Del Sesto, ...	Brown fund q...		Roger Williams...	11,203.75	11,203.75	374,333.14
Total Transfer to successor receiver									
Total Expense							374,333.14	374,333.14	374,333.14
Net Ordinary Income							2,354,170.66	2,354,170.66	2,354,170.66
Net Income							9,399.80	9,399.80	9,399.80
Net Income							9,399.80	9,399.80	9,399.80