



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

SUPERIOR COURT
SUBPOENA - CIVIL

Plaintiff/Petitioner St. Joseph Health Services of Rhode Island, Inc.	Civil Action File Number PC-2017-3856
Defendant/Respondent St. Josephs Health Services of Rhode Island Retirement Plan, as amended	

<input type="checkbox"/> Murray Judicial Complex Newport County 45 Washington Square Newport, Rhode Island 02840-2913 *(401) 841-8330	<input type="checkbox"/> Noel Judicial Complex Kent County 222 Quaker Lane Warwick, Rhode Island 02886-0107 *(401) 822-6900
<input type="checkbox"/> McGrath Judicial Complex Washington County 4800 Tower Hill Road Wakefield, Rhode Island 02879-2239 *(401) 782-4121	<input checked="" type="checkbox"/> Licht Judicial Complex Providence/Bristol County 250 Benefit Street Providence, Rhode Island 02903-2719 *(401) 222-3230

TO: Roman Catholic Bishop of Providence, c/o Eugene G. Bernardo, II, Esq., Registered Agent
 of 40 Westminster Street, Suite 1100, Providence, Rhode Island 02903.

YOU ARE HEREBY COMMANDED to appear in the Superior Court listed above at the date, time, and courtroom specified below to testify in the above-entitled case and bring with you:

Courtroom	Date	Time

If you need language assistance, please contact the Office of Court Interpreters at (401) 222-8710 or by email at interpreterfeedback@courts.ri.gov before your court appearance.

* If an accommodation for a disability is necessary, please contact the Superior Court Clerk's Office at the telephone number listed above as soon as possible. TTY users can contact the Superior Court through Rhode Island Relay at 7-1-1 or 1-800-745-5555 (TTY) to voice number.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

SUPERIOR COURT

YOU ARE HEREBY COMMANDED to appear at the location, date, and time specified below to testify at the taking of a deposition in the above-entitled case.

Location of Deposition	Date	Time

YOU ARE HEREBY COMMANDED to produce and permit inspection and copying of the following documents or objects at location, date, and time specified below (list documents or objects):

See attached Exhibit A

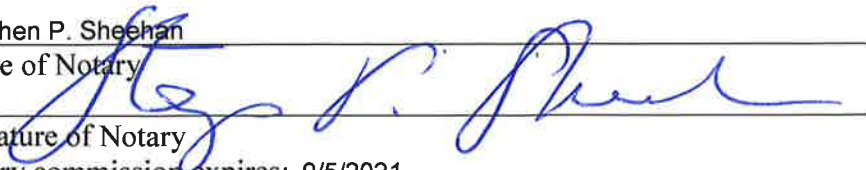
Location	Date	Time
61 Weybosset Street, Providence, RI	November 15, 2017	10:00 a.m.

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf and may set forth, for each person designated, the matters on which the person will testify. (Rule 30(b)(6) of the Superior Court Rule of Civil Procedure).

/s/ <u>Stephen P. Sheehan</u> Attorney for the <input type="checkbox"/> Plaintiff/Petitioner <input type="checkbox"/> Defendant/Respondent or <input type="checkbox"/> Plaintiff/Petitioner <input type="checkbox"/> Defendant/Respondent	Rhode Island Bar Number: 4030
	Date: 11/1/2017
Telephone Number:	

Issued by <input type="checkbox"/> Clerk, <input checked="" type="checkbox"/> Notary, or <input type="checkbox"/> Issuing Official pursuant to G.L. 1956 § 9-17-3	Date:
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/s/ _____
 Clerk

Stephen P. Sheehan
 Name of Notary

 Signature of Notary
 Notary commission expires: 9/5/2021
 Notary identification number: 54616

 Name of Issuing Official

 Signature of Issuing Official

STATE OF RHODE ISLAND AND



PROVIDENCE PLANTATIONS

SUPERIOR COURT

The following information is being provided pursuant to Rule 45(c), (d), and (e) of the Superior Court Rules of Civil Procedure.

(c) Protection of Persons Subject to Subpoenas.

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents, or tangible things or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing, or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection and copying may, within fourteen (14) days after service of the subpoena or before the time specified for compliance if such time is less than fourteen (14) days after service, serve upon the self-represented litigant or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it:
 - (i) Fails to allow reasonable time for compliance;
 - (ii) Requires disclosure of privileged or other protected matter and no exception or waiver applies; or
 - (iii) Subjects a person to undue burden.
(B) If a subpoena
 - (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
 - (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party,

the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) Duties in Responding to Subpoena.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
 - (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.
- (e) **Contempt.** Failure by any person without adequate excuse to obey a subpoena served upon that person may be deemed a contempt of the court in which the action is pending.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

SUPERIOR COURT

Plaintiff/Petitioner	Civil Action File Number
Defendant/Petitioner	

PROOF OF SERVICE

I hereby certify that on the date below I served a copy of this Subpoena on Roman Catholic Bishop of Providence personally.
Atty Eugene Bernado III Esq.

I hereby certify that I was unable to make service after the following reasonable attempts:

SERVICE DATE: 11 / 2 / 17 SERVICE FEE \$ 45.00
Month Day Year \$ 11.00 # 3850

Signature of SHERIFF or DEPUTY SHERIFF or CONSTABLE

SIGNATURE OF PERSON OTHER THAN A SHERIFF or DEPUTY SHERIFF or CONSTABLE MUST BE NOTARIZED.

Thomas Noury
Signature Thomas Noury
P.O. Box 114026
North Providence, RI 02914

State of RI
County of Providence

On this 2 day of Nov., 2017, before me, the undersigned notary public, personally appeared Thomas Noury
 personally known to the notary or proved to the notary through satisfactory evidence of identification, which was _____, to be the person who signed above in my presence, and who swore or affirmed to the notary that the contents of the document are truthful to the best of his or her knowledge.

Notary Public: Dora Noury-Keating
My commission expires: _____
Notary identification number: Dora Noury-Keating
Notary Public

My Commission Exp. 5-27-21

EXHIBIT A

Instructions

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

Definitions

- a. The word "**documents**" as used herein is meant in the broad and liberal sense and includes hand-written, typed, recorded, electronically stored, or graphic material of any kind and description, and whether a draft, copy, original, or master, including, but not limited to, e-mails, electronic versions of documents, accounts, advertisements, letters, memoranda, prospectuses, resolutions, legislation, notes of conversations, contracts, agreements, drawings, tape recordings, internal policies or procedures, inter-office and intra-office memoranda, studies, working papers, corporate records, minutes of meetings, checks, diaries, diary entries, appointment books, desk calendars, photographs, transcriptions or sound recordings or any type, and documents stored on data storage modules, databases, servers, computers, tapes, discs or other memory devices, or other information retrievable from storage systems. If any document has been prepared in multiple copies which are not identical, each modified copy or non-identical copy is a separate "document." The word "document" also includes data compilations from which information can be obtained and translated, if necessary, by the requesting party in a reasonably usable form.
- b. The word "**concerning**" means anything connected, associated, related to, evidencing, or in any manner whatsoever having to do with the substance or subject matter of the information or document requested herein.
- c. The term "**any**" and the term "**all**" are intended to mean "**any and all**."
- d. Any word in the singular also includes the plural and vice versa.
- e. The term "**Hospitals**" refers to Our Lady of Fatima Hospital and/or St. Joseph Hospital;
- f. The term "**Plan**" refers to the St. Joseph Health Services of Rhode Island Retirement Plan and any of its versions or amendments.

- g. The term “**SJHSRI**” refers to St. Joseph Health Services of Rhode Island and each of its predecessors or successors.
- h. The term “**Charter Care**” includes Charter Care Health Partners and Charter Care Community Board and any related entities;
- i. The term “**Bishop of Providence**” includes the Roman Catholic Bishop of Providence, a corporation sole; Bishop Tobin; and any individual designees of the Roman Catholic Bishop of Providence or Bishop Tobin;
- j. The term “**Diocese**” includes the Diocese of Providence and any other diocese or archdiocese or component of the Catholic Church having any connection of any nature with the **Plan**, and each of their bishops, clergy, officers, executives, employees, agents, and designees. The term “**Diocese**” also includes any corporation or entity controlled in whole or in part by the **Bishop of Providence** or **Diocese** having any connection of any nature with the **Plan**, including the Inter-Parish Loan Fund, Inc.
- k. The term “**Prospect**” includes Prospect Medical Holdings, Inc. or any related entity, including subsidiaries and subsidiaries of subsidiaries;
- l. The term “**Trust Funds**” means such sums of money or other property paid or delivered to the Bank of America (as defined above) pursuant to the Plan (as defined above), and all property acquired using such sums of money or the proceeds from the sale or exchange of such other property, together with all earnings, profits, increments, dividends, and accruals thereon, and all amounts charged, withdrawn, or deducted by way of fees or compensation to Bank of America in relation to the Plan.

Documents Requested

- 1. All **documents** concerning the functions, duties, or responsibilities of the **Diocese**, the **Bishop of Providence**, or any individual appointed by the **Diocese** or the **Bishop of Providence**, concerning the **Plan** or the **Trust Funds**;
- 2. All **documents** concerning the actions or role of the **Bishop of Providence** as a Class B member of **SJHSRI**;
- 3. All **documents** concerning the actions or role of the **Bishop of Providence** as a member of the Board of Trustees of **SJHSRI**;
- 4. All **documents** concerning the sponsorship of **SJHSRI** by the Roman Catholic Church;
- 5. All **documents** concerning any communications to or from any present or former employees of **SJHSRI** concerning pension benefits, including but not limited to the **Plan**;

6. All **documents** concerning any communications to or from any present or former employees of **Charter Care** concerning pension benefits, including but not limited to the **Plan**;
7. All **documents** provided or made available to any present or former employees of **SJHSRI** concerning pension benefits, including but not limited to the **Plan**;
8. All **documents** provided or made available to any present or former employees of **Charter Care** concerning pension benefits, including but not limited to the **Plan**;
9. All **documents** concerning **SJHSRI** and the Catholic Directory for the period from January 1, 2005 through the present, including but not limited to documents concerning the inclusion, exclusion, status, or classification of **SJHSRI** in the Catholic Directory and any change of such status or classification;
10. All **documents** concerning press releases issued by or on behalf of the **Diocese**, the **Bishop of Providence**, or **SJHSRI** concerning the following:
 - a. The **Plan** or the **Trust Funds**;
 - b. The affiliation of the **Hospitals** and/or **SJHSRI** with **Charter Care** or any related entity; or
 - c. The affiliation of the **Hospitals**, **SJHSRI**, or **Charter Care**, with **Prospect**;
11. All **documents** concerning the official role of the **Bishop of Providence**, the **Diocese**, or the Roman Catholic Church in the governance of **SJHSRI**;
12. All **documents** concerning any assistance, including but not limited to financial assistance, provided by the **Bishop of Providence**, the **Diocese**, or the Roman Catholic Church to **SJHSRI**;
13. All **documents** concerning any denominational requirement for any employee of **SJHSRI** or any patient of the **Hospitals**;
14. All **documents** concerning the status of the **Plan** as a church plan, including but not limited to communications to or from the Internal Revenue Service or the United States Department of Labor concerning the status of the **Plan** as a church plan;
15. All **documents** that list the entities associated with the **Bishop of Providence** and/or the **Diocese**, including but not limited to any such lists that include **SJHSRI**, **Charter Care**, and/or the **Hospitals**;
16. All **documents** concerning any loans or other transfers of funds to or from **SJHSRI** and any entity associated with the **Bishop of Providence** or the **Diocese**, including but not limited to the Inter-Parish Loan Fund, Inc.; and

17. All **documents** concerning the **Plan** or the **Trust Funds** not otherwise identified above.